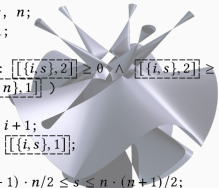




Algebraic Geometry for Loop Invariants and Program Synthesis

9th October 2025

Pascaline Seminar



```
1 @real: i, s, n;  
2 @pre: n ≥ 1;  
3 i = 0; s = 0;  
4 @invariant:  $\lfloor \frac{i+s}{2} \rfloor \geq 0 \wedge \lfloor \frac{i+s}{2} \rfloor \geq 0$   
5 while(  $\lfloor \frac{i+n}{2} \rfloor > 0$  )  
6 {  
7     i = i + 1;  
8     s =  $\lfloor \frac{i+s}{2} \rfloor$ ;  
9 }  
10 @post:  $(n-1) \cdot n/2 \leq s \leq n \cdot (n+1)/2$ ;
```

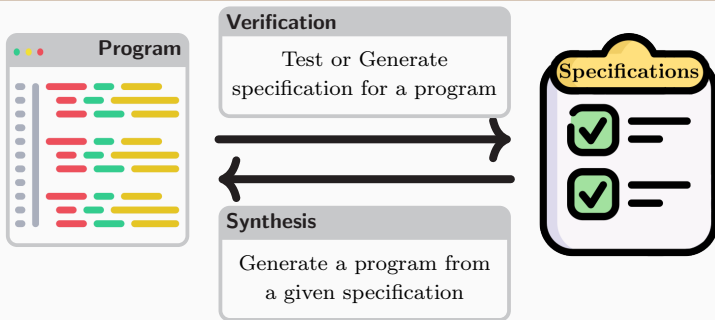
Rémi PRÉBET

Joint works with E. BAYARMAGNAI (PhD), F. MOHAMMADI

SLIDES:

rprebet.github.io/#talks

Program Verification and Synthesis



Program Verification and Synthesis

Polynomial loop

$\mathbf{x} = (x_1, \dots, x_n)$ and
 $F \in \mathbb{Q}[\mathbf{x}]^n$ polynomial map

$\mathbf{x} \leftarrow a$

while true do

$\mathbf{x} \leftarrow F(\mathbf{x})$

end while

Verification

Test or Generate
polynomial invariants



Synthesis

Generate a loop from
polynomial invariant

Polynomial loop invariant

Ψ formula polynomial in $\mathbf{x}$
s.t.:

- ▶ $\Psi(a)$ is true
- ▶ $\Psi(F(a))$ is true
- ▶ $\Psi(F^{(2)}(a))$ is true

⋮

⋮

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Polynomial Loop

1: $(c, k, m, n) = (0, 1, 6, 0)$

2: **while** $n < N$ **do**

3: $c \leftarrow c + k$

4: $k \leftarrow k + 6n + 6$

5: $m \leftarrow m + 6$

6: $n \leftarrow n + 1$

7: **end while**

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Polynomial Invariants

$$c = n^3$$

$\wedge$

$$k = 3n^2 + 3n + 1$$

$\wedge$

$$m = 6n + 6$$

$\wedge$

$$n \leq N$$

Program Verification and Synthesis

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Polynomial Invariants

$$\begin{aligned} c &= n^3 \\ \wedge \\ k &= 3n^2 + 3n + 1 \\ \wedge \\ m &= 6n + 6 \\ \wedge \\ n &\leq N \end{aligned}$$



Postcondition

$$c_{\text{final}} = N^3$$

Program Verification and Synthesis

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$\wedge$

$$n \leq N$$

Postcondition

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Polynomial equations

A difficult problem

Invariant ideal $\mathcal{I}(\mathcal{L})$

Polynomial invariants (equations)
for a loop $\mathcal{L}$ form an ideal

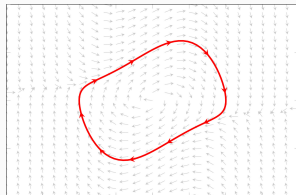
Hilbert basis theorem

Compute a *finite* basis

Discrete Van der Pol oscillator

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1:  $(x, y) = (x_0, y_0)$   
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Algebraic geometry



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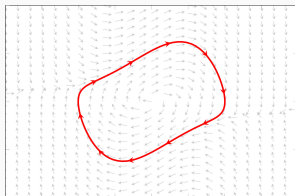
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Algebraic geometry



Computability results [Müllner & Moosbrugger & Kováč 2024]

✓: decidable

✗: undecidable

?: unknown

Program model		Affine Inv	Poly. Inv
Unguarded	Affine	✓ [Karr 1976]	✓ [Kováč 2008]
	Poly.	✓ [Müller-Olm & Seidl 2004]	? SKOLEM-HARD [Müllner et al. 2024]
Guarded ($=, \leq$)	Affine	✗ (Halting Problem) [Hopcroft & Ullman 1969]	
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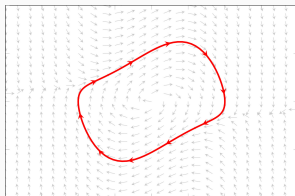
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Truncated invariant ideal

$\mathcal{I}(\mathcal{L}) \cap \mathbb{C}[x]_{\leq d}$ computable [Müller-Olm & Seidl 2004]

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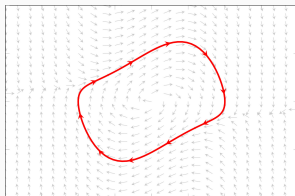
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Truncated invariant ideal

$\mathcal{I}(\mathcal{L}) \cap \mathbb{C}[x]_{\leq d}$ computable [Müller-Olm & Seidl 2004]

BUT

Degree of regularity

[Eisenbud 2005]

Find d such that it generates $\mathcal{I}(\mathcal{L})$ is hard!

Invariant test

$X \leftarrow A?$

while true do

Assert: $\sum \lambda_i \cdot X^{\alpha_i} = 0$

$X \leftarrow \sum f_i \cdot X^{\beta_i}$

end while

Reduction to parametric invariant test

Invariant generation

$(x, c) \leftarrow (a, \lambda)?$

while true do

Assert: $\sum_i 1 \cdot c_i x^{\alpha_i} = 0$

$(x, c) \leftarrow (\sum f_i \cdot x^{\beta_i}, c)$

end while



Invariant test

$x \leftarrow A?$

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Reduction to parametric invariant test

Invariant generation

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(x, c) ← (a, λ)?  
while true do  
  Assert:  $\sum_i 1 \cdot c_i x^{\alpha_i} = 0$   
  (x, c) ← ( $\sum f_i \cdot x^{\beta_i}$ , c)  
end while
```



Invariant test

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X ← A?  
while true do  
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```

Loop synthesis

```
(x, c) ← (a, f)?  
while true do  
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end while
```



Reduction to parametric invariant test

Invariant generation

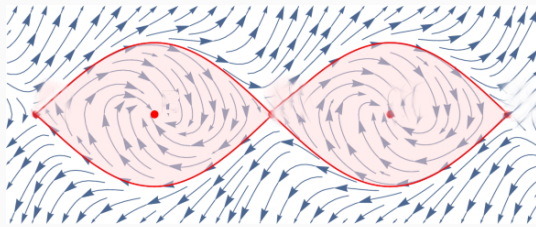
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```



Invariant set

For which $A \in \mathbb{C}^n$, $h \circ F^{(m)}(A) = 0$ for all $m \geq 0$?

Invariant set

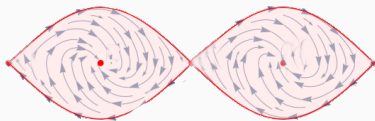
Definition

Invariant set of (F, h) :

$$S_{(F, h)} = \{a \in \mathbb{C}^n, \forall m \geq 0, h \circ F^{(m)}(a) = 0\}$$

Stabilisation property

$$X_m = \{h = h \circ F = \dots h \circ F^{(m)} = 0\}$$



Invariant set

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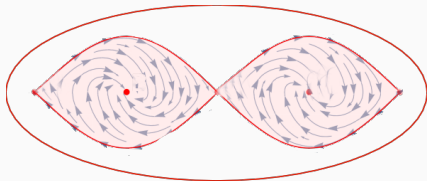
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$$S_{(F, h)} = X_0 \cap \dots$$



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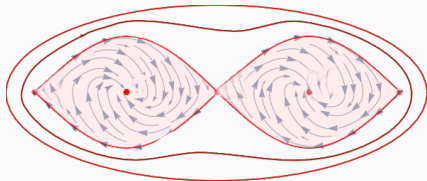
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$$S_{(F, h)} = X_0 \cap X_1 \cap \dots$$
$$\supset$$



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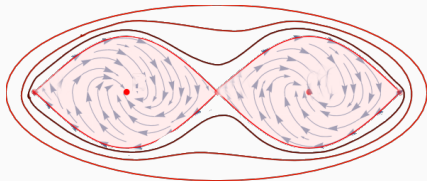
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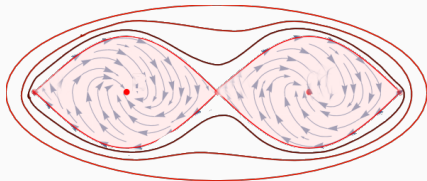
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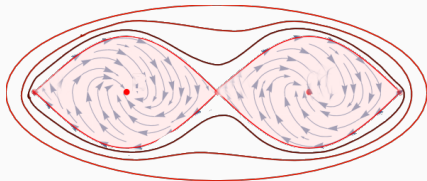
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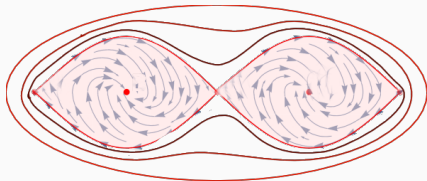
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Algorithm

Compute $h \circ F^{(m)}(x)$ until $X_N = X_{N+1}$

Radical membership test:

$$h \circ F^{(N+1)}(x) \in \sqrt{\langle h, \dots, h \circ F^{(N)} \rangle}$$

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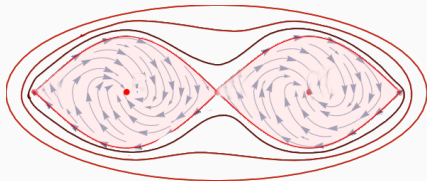
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Complexity

- Radical membership: $\rightsquigarrow$ poly. in D^{Nn^2}
- N may be Ackermann-large (sharp)
- primitive recursive under assumptions on F

[Seidenberg '72; Moreno-Socías '92; Novikov, Yakovenko '99;
Benedikt, Duff, Sharad, Worrel 2017]

Testing polynomial invariant

Invariant test

$(x, y) \leftarrow (a, b)$

while true do

Assert: $h = x^2 - xy + 9x^3 - 24x^2y + 16xy^2 = 0$

$$\begin{bmatrix} x \\ y \end{bmatrix} \leftarrow F(x, y) = \begin{bmatrix} 10x - 8y \\ 6x - 4y \end{bmatrix}$$

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 Program verification $\rightarrow$

h invariant for $(0, 1)$?

Could h be an invariant (in $\mathbb{Q}$ or $\mathbb{R}$)?

h invariant for any $(\mathbb{Q}$ or $\mathbb{R}$) initial value?

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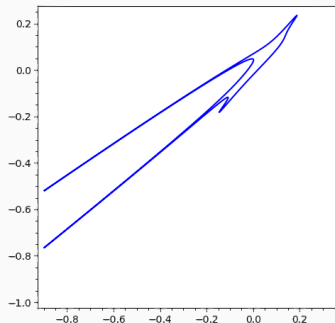
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Invariant set $S_{(F, h)}$

h is invariant for $(a, b) \in \mathbb{C}^2$ if and only if

$$h = a^2 - ab + 9a^3 - 24a^2b + 16ab^2 = 0$$

$$h \circ F = 360a^3 - 1248a^2b + 40a^2 + 1408ab^2 - 72ab - 512b^3 + 32b^2 = 0$$



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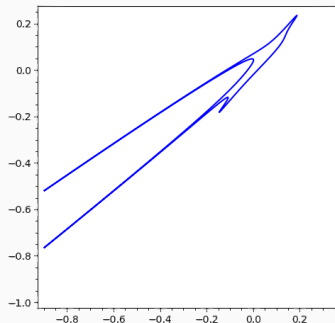


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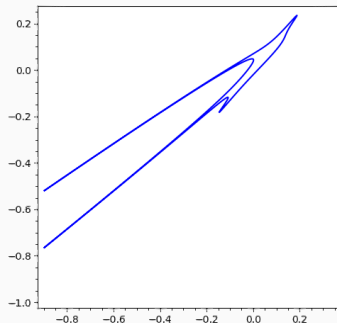
h invariant for $(0, 1)$?

$\rightarrow h(0, 1) = h \circ F(0, 1) = 0?$

$\rightarrow$ No! $h(0, 1) = -480$.

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$\rightarrow h(0, 1) = h \circ F(0, 1) = 0?$

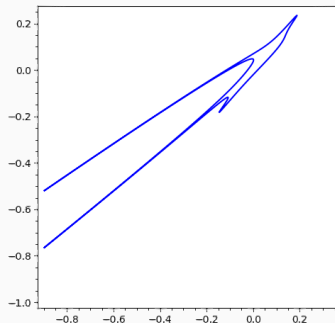
$\rightarrow$ No! $h(0, 1) = -480$.

Could h be an invariant (in $\mathbb{Q}$ or $\mathbb{R}$)?

$\rightarrow S_{(F, h)} \cap \mathbb{Q} \text{ or } \mathbb{R} = \emptyset?$

$\rightarrow$ Yes! Critical points: $(0, 0)$ and $(1/16, 5/64)$

h invariant for any ($\mathbb{Q}$ or $\mathbb{R}$) initial value?



Testing polynomial invariant

Invariant test

$(x, y) \leftarrow (a, b)$

while true do

Assert: $h = x^2 - xy + 9x^3 - 24x^2y + 16xy^2 = 0$

$\begin{bmatrix} x \\ y \end{bmatrix} \leftarrow F(x, y) = \begin{bmatrix} 10x - 8y \\ 6x - 4y \end{bmatrix}$

end while

Invariant set $S_{(F, h)}$

h is invariant for $(a, b) \in \mathbb{C}^2$ if and only if

$$h = a^2 - ab + 9a^3 - 24a^2b + 16ab^2 = 0$$

$$h \circ F = 360a^3 - 1248a^2b + 40a^2 + 1408ab^2 - 72ab - 512b^3 + 32b^2 = 0$$

🔗 Program verification $\rightarrow$ 🔗 Algebraic geometry

h invariant for $(0, 1)$?

$\rightarrow h(0, 1) = h \circ F(0, 1) = 0?$

$\rightarrow$ No! $h(0, 1) = -480$.

Could h be an invariant (in $\mathbb{Q}$ or $\mathbb{R}$)?

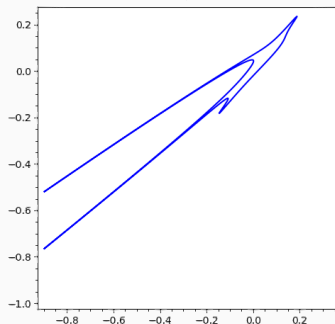
$\rightarrow S_{(F, h)} \cap \mathbb{Q} \text{ or } \mathbb{R} = \emptyset?$

$\rightarrow$ Yes! Critical points: $(0, 0)$ and $(1/16, 5/64)$

h invariant for any $(\mathbb{Q} \text{ or } \mathbb{R})$ initial value?

$\rightarrow S_{(F, h)} = \mathbb{C}^2?$

$\rightarrow$ No! $\langle h, h \circ F \rangle \neq \{0\}$



Generating polynomial invariant: any initial value

Invariant generation

$(x, y) \leftarrow (a, b)$

while true do

Assert: $h : \lambda_0 + \lambda_1 x + \lambda_2 y + \lambda_3 x^2 + \lambda_4 xy + \lambda_5 y^2 = 0$

$$\begin{bmatrix} x \\ y \end{bmatrix} \leftarrow F(x, y) = \begin{bmatrix} 10x - 8y \\ 6x - 4y \end{bmatrix}$$

end while

Generating polynomial invariant: any initial value

Invariant generation

$(x, y, c) \leftarrow (a, b, \lambda)$

while true do

Assert: $h : c_0 + c_1 x + c_2 y + c_3 x^2 + c_4 xy + c_5 y^2 = 0$

$$\begin{bmatrix} x \\ y \\ c \end{bmatrix} \leftarrow \tilde{F}(x, y) = \begin{bmatrix} 10x - 8y \\ 6x - 4y \\ c \end{bmatrix}$$

end while

Generating polynomial invariant: any initial value

Invariant generation

$(x, y, c) \leftarrow (a, b, \lambda)$

while true do

Assert: $h : c_0 + c_1 x + c_2 y + c_3 x^2 + c_4 xy + c_5 y^2 = 0$

$$\begin{bmatrix} x \\ y \\ c \end{bmatrix} \leftarrow \tilde{F}(x, y) = \begin{bmatrix} 10x - 8y \\ 6x - 4y \\ c \end{bmatrix}$$

end while

Invariant generation $\rightarrow$ (Linear) Algebra

① Invariants for a given $(a, b) \in \mathbb{C}^2$?

② Invariants for generic $(a, b) \in \mathbb{C}^2$?

③ Invariant for all $(a, b) \in \mathbb{C}^2$?

Generating polynomial invariant: any initial value

Invariant generation

$(x, y, c) \leftarrow (a, b, \lambda)$

while true do

Assert: $h : c_0 + c_1 x + c_2 y + c_3 x^2 + c_4 xy + c_5 y^2 = 0$

$$\begin{bmatrix} x \\ y \\ c \end{bmatrix} \leftarrow \tilde{F}(x, y) = \begin{bmatrix} 10x - 8y \\ 6x - 4y \\ c \end{bmatrix}$$

end while

Invariant generation $\rightarrow$ (Linear) Algebra

① Invariants for a given $(a, b) \in \mathbb{C}^2$?

② Invariants for generic $(a, b) \in \mathbb{C}^2$?

③ Invariant for all $(a, b) \in \mathbb{C}^2$?

Invariant set $S_{\tilde{F}, h}$ - Parametric Linear System

$$\begin{bmatrix} h \\ h \circ \tilde{F} \\ h \circ \tilde{F}^2 \\ h \circ \tilde{F}^3 \\ h \circ \tilde{F}^4 \end{bmatrix} = \begin{bmatrix} a^2 & ab & b^2 & a & b & 1 \\ (10a - 8b)^2 & (10a - 8b)(6a - 4b) & (6a - 4b)^2 & 10a - 8b & 6a - 4b & 1 \\ (52a - 48b)^2 & (52a - 48b)(36a - 32b) & (36a - 32b)^2 & 52a - 48b & 36a - 32b & 1 \\ (232a - 224b)^2 & (232a - 224b)(168a - 160b) & (168a - 160b)^2 & 232a - 224b & 168a - 160b & 1 \\ (976a - 960b)^2 & (976a - 960b)(720a - 704b) & (720a - 704b)^2 & 976a - 960b & 720a - 704b & 1 \end{bmatrix} \cdot \begin{bmatrix} \lambda_5 \\ \lambda_4 \\ \lambda_3 \\ \lambda_2 \\ \lambda_1 \\ \lambda_0 \end{bmatrix} = 0$$

Generating polynomial invariant: any initial value

Invariant generation

$(x, y, c) \leftarrow (a, b, \lambda)$

while true do

Assert: $h : c_0 + c_1 x + c_2 y + c_3 x^2 + c_4 xy + c_5 y^2 = 0$

$$\begin{bmatrix} x \\ y \\ c \end{bmatrix} \leftarrow \tilde{F}(x, y) = \begin{bmatrix} 10x - 8y \\ 6x - 4y \\ c \end{bmatrix}$$

end while

Invariant generation $\rightarrow$ (Linear) Algebra

① Invariants for a given $(a, b) \in \mathbb{C}^2?$
 $\rightarrow$ Row Echelon Form

② Invariants for generic $(a, b) \in \mathbb{C}^2?$

③ Invariant for all $(a, b) \in \mathbb{C}^2?$

Invariant set $S_{\tilde{F}, h}$ - Parametric Linear System

$$\begin{bmatrix} h \\ h \circ \tilde{F} \\ h \circ \tilde{F}^2 \\ h \circ \tilde{F}^3 \\ h \circ \tilde{F}^4 \end{bmatrix} = \begin{bmatrix} a^2 & ab & b^2 & a & b & 1 \\ (10a - 8b)^2 & (10a - 8b)(6a - 4b) & (6a - 4b)^2 & 10a - 8b & 6a - 4b & 1 \\ (52a - 48b)^2 & (52a - 48b)(36a - 32b) & (36a - 32b)^2 & 52a - 48b & 36a - 32b & 1 \\ (232a - 224b)^2 & (232a - 224b)(168a - 160b) & (168a - 160b)^2 & 232a - 224b & 168a - 160b & 1 \\ (976a - 960b)^2 & (976a - 960b)(720a - 704b) & (720a - 704b)^2 & 976a - 960b & 720a - 704b & 1 \end{bmatrix} \cdot \begin{bmatrix} \lambda_5 \\ \lambda_4 \\ \lambda_3 \\ \lambda_2 \\ \lambda_1 \\ \lambda_0 \end{bmatrix} = 0$$

Generating polynomial invariant: any initial value

Invariant generation

$(x, y, c) \leftarrow (a, b, \lambda)$

while true do

Assert: $h : c_0 + c_1 x + c_2 y + c_3 x^2 + c_4 xy + c_5 y^2 = 0$

$$\begin{bmatrix} x \\ y \\ c \end{bmatrix} \leftarrow \tilde{F}(x, y) = \begin{bmatrix} 10x - 8y \\ 6x - 4y \\ c \end{bmatrix}$$

end while

Invariant generation $\rightarrow$ (Linear) Algebra

- ① Invariants for a given $(a, b) \in \mathbb{C}^2?$
 $\rightarrow$ Row Echelon Form
- ② Invariants for generic $(a, b) \in \mathbb{C}^2?$
 $\rightarrow$ Eval./Interpolation of Row Echelon Form
- ③ Invariant for all $(a, b) \in \mathbb{C}^2?$

Invariant set $S_{\tilde{F}, h}$ - Parametric Linear System

$$\begin{bmatrix} h \\ h \circ \tilde{F} \\ h \circ \tilde{F}^2 \\ h \circ \tilde{F}^3 \\ h \circ \tilde{F}^4 \end{bmatrix} = \begin{bmatrix} a^2 & ab & b^2 & a & b & 1 \\ (10a - 8b)^2 & (10a - 8b)(6a - 4b) & (6a - 4b)^2 & 10a - 8b & 6a - 4b & 1 \\ (52a - 48b)^2 & (52a - 48b)(36a - 32b) & (36a - 32b)^2 & 52a - 48b & 36a - 32b & 1 \\ (232a - 224b)^2 & (232a - 224b)(168a - 160b) & (168a - 160b)^2 & 232a - 224b & 168a - 160b & 1 \\ (976a - 960b)^2 & (976a - 960b)(720a - 704b) & (720a - 704b)^2 & 976a - 960b & 720a - 704b & 1 \end{bmatrix} \cdot \begin{bmatrix} \lambda_5 \\ \lambda_4 \\ \lambda_3 \\ \lambda_2 \\ \lambda_1 \\ \lambda_0 \end{bmatrix} = 0$$

Generating polynomial invariant: any initial value

Invariant generation

$(x, y, c) \leftarrow (a, b, \lambda)$

while true do

Assert: $h : c_0 + c_1 x + c_2 y + c_3 x^2 + c_4 xy + c_5 y^2 = 0$

$$\begin{bmatrix} x \\ y \\ c \end{bmatrix} \leftarrow \tilde{F}(x, y) = \begin{bmatrix} 10x - 8y \\ 6x - 4y \\ c \end{bmatrix}$$

end while

Invariant generation $\rightarrow$ (Linear) Algebra

- ① Invariants for a given $(a, b) \in \mathbb{C}^2$?
→ Row Echelon Form
- ② Invariants for generic $(a, b) \in \mathbb{C}^2$?
→ Eval./Interpolation of Row Echelon Form
- ③ Invariant for all $(a, b) \in \mathbb{C}^2$?
→ Minor based stratification of $\mathbb{C}^2$

Invariant set $S_{\tilde{F}, h}$ - Parametric Linear System

$$\begin{bmatrix} h \\ h \circ \tilde{F} \\ h \circ \tilde{F}^2 \\ h \circ \tilde{F}^3 \\ h \circ \tilde{F}^4 \end{bmatrix} = \begin{bmatrix} a^2 & ab & b^2 & a & b & 1 \\ (10a - 8b)^2 & (10a - 8b)(6a - 4b) & (6a - 4b)^2 & 10a - 8b & 6a - 4b & 1 \\ (52a - 48b)^2 & (52a - 48b)(36a - 32b) & (36a - 32b)^2 & 52a - 48b & 36a - 32b & 1 \\ (232a - 224b)^2 & (232a - 224b)(168a - 160b) & (168a - 160b)^2 & 232a - 224b & 168a - 160b & 1 \\ (976a - 960b)^2 & (976a - 960b)(720a - 704b) & (720a - 704b)^2 & 976a - 960b & 720a - 704b & 1 \end{bmatrix} \cdot \begin{bmatrix} \lambda_5 \\ \lambda_4 \\ \lambda_3 \\ \lambda_2 \\ \lambda_1 \\ \lambda_0 \end{bmatrix} = 0$$

Generating polynomial invariant: any initial value

Invariant generation

$(x, y, c) \leftarrow (a, b, \lambda)$

while true do

Assert: $h : c_0 + c_1 x + c_2 y + c_3 x^2 + c_4 xy + c_5 y^2 = 0$

$$\begin{bmatrix} x \\ y \\ c \end{bmatrix} \leftarrow \tilde{F}(x, y) = \begin{bmatrix} 10x - 8y \\ 6x - 4y \\ c \end{bmatrix}$$

end while

Invariant generation $\rightarrow$ (Linear) Algebra

① Invariants for a given $(a, b) \in \mathbb{C}^2?$

$\rightarrow$ Row Echelon Form

② Invariants for generic $(a, b) \in \mathbb{C}^2?$

$\rightarrow$ Eval./Interpolation of Row Echelon Form

③ Invariant for all $(a, b) \in \mathbb{C}^2?$

$\rightarrow$ Minor based stratification of $\mathbb{C}^2$

Invariant set $S_{\tilde{F}, h}$ - Parametric Linear System

$$\begin{bmatrix} h \\ h \circ \tilde{F} \\ h \circ \tilde{F}^2 \\ h \circ \tilde{F}^3 \\ h \circ \tilde{F}^4 \end{bmatrix} = \begin{bmatrix} a^2 & ab & b^2 & a & b & 1 \\ (10a - 8b)^2 & (10a - 8b)(6a - 4b) & (6a - 4b)^2 & 10a - 8b & 6a - 4b & 1 \\ (52a - 48b)^2 & (52a - 48b)(36a - 32b) & (36a - 32b)^2 & 52a - 48b & 36a - 32b & 1 \\ (232a - 224b)^2 & (232a - 224b)(168a - 160b) & (168a - 160b)^2 & 232a - 224b & 168a - 160b & 1 \\ (976a - 960b)^2 & (976a - 960b)(720a - 704b) & (720a - 704b)^2 & 976a - 960b & 720a - 704b & 1 \end{bmatrix} \cdot \begin{bmatrix} \lambda_5 \\ \lambda_4 \\ \lambda_3 \\ \lambda_2 \\ \lambda_1 \\ \lambda_0 \end{bmatrix} = 0$$

Invariant stratification

[Sit 1992]

Initial values' stratum	Basis of $I_{2, \mathcal{L}}$	Dim
$a = b = 0$	$\{x, y, xy, x^2, y^2\}$	5
$a - b = 0$	$\{x - y, x^2 - xy, -xy + y^2\}$	3
$3a - 4b = 0$	$\{3x - 4y, -3x^2 + 16xy - 16y^2, -3xy + 4y^2\}$	3
$(3a - 4b)(a - b) \neq 0$	$\{(3a - 4b)^2 x - (3a - 4b)^2 y - 9(a - b)x^2 + 24(a - b)xy - 16(a - b)y^2\}$	1

Generating polynomial invariant: Fixed initial values

Invariant generation

$(x, y, c) \leftarrow (a, b, \lambda)$

while true do

Assert: $h : c_0 + c_1 x + c_2 y + c_3 x^2 + c_4 xy + c_5 y^2 = 0$

$$\begin{bmatrix} x \\ y \\ c \end{bmatrix} \leftarrow \tilde{F}(x, y) = \begin{bmatrix} 10x - 8y \\ 6x - 4y \\ c \end{bmatrix}$$

end while

Invariant generation $S_{\tilde{F}, h}$ - Parametric Linear System

$$\begin{bmatrix} h \\ h \circ \tilde{F} \\ h \circ \tilde{F}^2 \\ h \circ \tilde{F}^3 \\ h \circ \tilde{F}^4 \end{bmatrix} = \begin{bmatrix} a^2 & ab & b^2 & a & b & 1 \\ (10a - 8b)^2 & (10a - 8b)(6a - 4b) & (6a - 4b)^2 & 10a - 8b & 6a - 4b & 1 \\ (52a - 48b)^2 & (52a - 48b)(36a - 32b) & (36a - 32b)^2 & 52a - 48b & 36a - 32b & 1 \\ (232a - 224b)^2 & (232a - 224b)(168a - 160b) & (168a - 160b)^2 & 232a - 224b & 168a - 160b & 1 \\ (976a - 960b)^2 & (976a - 960b)(720a - 704b) & (720a - 704b)^2 & 976a - 960b & 720a - 704b & 1 \end{bmatrix} \cdot \begin{bmatrix} \lambda_5 \\ \lambda_4 \\ \lambda_3 \\ \lambda_2 \\ \lambda_1 \\ \lambda_0 \end{bmatrix} = 0$$

Generating polynomial invariant: Fixed initial values

Invariant generation

$(x, y, c) \leftarrow (4, 3, \lambda)$

while true do

Assert: $h : c_0 + c_1 x + c_2 y + c_3 x^2 + c_4 xy + c_5 y^2 = 0$

$$\begin{bmatrix} x \\ y \\ c \end{bmatrix} \leftarrow \tilde{F}(x, y) = \begin{bmatrix} 10x - 8y \\ 6x - 4y \\ c \end{bmatrix}$$

end while

Invariant vector space $\mathcal{I}_{\leq 2}((4, 3), \tilde{F})$ - Linear System

$$\begin{bmatrix} h \\ h \circ \tilde{F} \\ h \circ \tilde{F}^2 \\ h \circ \tilde{F}^3 \\ h \circ \tilde{F}^4 \end{bmatrix} = \begin{bmatrix} 9 & 12 & 1 & 3 & 4 & 1 \\ 144 & 192 & 1 & 3 \cdot 2^2 & 4 \cdot 2^2 & 1 \\ 2304 & 3072 & 1 & 3 \cdot 2^4 & 4 \cdot 2^4 & 1 \\ 36864 & 49152 & 1 & 3 \cdot 2^6 & 4 \cdot 2^6 & 1 \\ 589824 & 786432 & 1 & 3 \cdot 2^8 & 4 \cdot 2^8 & 1 \end{bmatrix} \cdot \begin{bmatrix} \lambda_5 \\ \lambda_4 \\ \lambda_3 \\ \lambda_2 \\ \lambda_1 \\ \lambda_0 \end{bmatrix} = 0$$

Generating polynomial invariant: Fixed initial values

Invariant generation

$(x, y, c) \leftarrow (4, 3, \lambda)$

while true do

Assert: $h: c_0 + c_1 x + c_2 y + c_3 x^2 + c_4 xy + c_5 y^2 = 0$

$$\begin{bmatrix} x \\ y \\ c \end{bmatrix} \leftarrow \tilde{F}(x, y) = \begin{bmatrix} 10x - 8y \\ 6x - 4y \\ c \end{bmatrix}$$

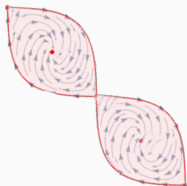
end while

Invariant vector space $\mathcal{I}_{\leq 2}((4, 3), \tilde{F})$ - Linear System

$$\begin{bmatrix} h \\ h \circ \tilde{F} \\ h \circ \tilde{F}^2 \\ h \circ \tilde{F}^3 \\ h \circ \tilde{F}^4 \end{bmatrix} = \begin{bmatrix} 9 & 12 & 1 & 3 & 4 & 1 \\ 144 & 192 & 1 & 3 \cdot 2^2 & 4 \cdot 2^2 & 1 \\ 2304 & 3072 & 1 & 3 \cdot 2^4 & 4 \cdot 2^4 & 1 \\ 36864 & 49152 & 1 & 3 \cdot 2^6 & 4 \cdot 2^6 & 1 \\ 589824 & 786432 & 1 & 3 \cdot 2^8 & 4 \cdot 2^8 & 1 \end{bmatrix} \cdot \begin{bmatrix} \lambda_5 \\ \lambda_4 \\ \lambda_3 \\ \lambda_2 \\ \lambda_1 \\ \lambda_0 \end{bmatrix} = 0$$

An observation

$$\mathcal{I}_{\leq 2}((4, 3), \tilde{F}) = \left\{ h \circ \tilde{F}^0(4, 3) = 0, \dots, h \circ \tilde{F}^{(?)}(4, 3) = 0 \right\}$$



Generating polynomial invariant: Fixed initial values

Invariant generation

$(x, y, c) \leftarrow (4, 3, \lambda)$

while true do

Assert: $h: c_0 + c_1 x + c_2 y + c_3 x^2 + c_4 xy + c_5 y^2 = 0$

$$\begin{bmatrix} x \\ y \\ c \end{bmatrix} \leftarrow \tilde{F}(x, y) = \begin{bmatrix} 10x - 8y \\ 6x - 4y \\ c \end{bmatrix}$$

end while

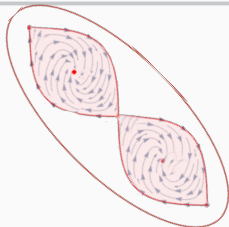
Invariant vector space $\mathcal{I}_{\leq 2}((4, 3), F)$ - Linear System

$$\begin{bmatrix} h \\ h \circ \tilde{F} \\ h \circ \tilde{F}^2 \\ h \circ \tilde{F}^3 \\ h \circ \tilde{F}^4 \end{bmatrix} = \begin{bmatrix} 9 & 12 & 1 & 3 & 4 & 1 \\ 144 & 192 & 1 & 3 \cdot 2^2 & 4 \cdot 2^2 & 1 \\ 2304 & 3072 & 1 & 3 \cdot 2^4 & 4 \cdot 2^4 & 1 \\ 36864 & 49152 & 1 & 3 \cdot 2^6 & 4 \cdot 2^6 & 1 \\ 589824 & 786432 & 1 & 3 \cdot 2^8 & 4 \cdot 2^8 & 1 \end{bmatrix} \cdot \begin{bmatrix} \lambda_5 \\ \lambda_4 \\ \lambda_3 \\ \lambda_2 \\ \lambda_1 \\ \lambda_0 \end{bmatrix} = 0$$

An observation

$$\mathcal{I}_{\leq 2}((4, 3), F) \left(\subseteq \right) \left\{ h \circ \tilde{F}^0(4, 3) = 0, \dots, h \circ \tilde{F}^{(k)}(4, 3) = 0 \right\}$$

$$\mathcal{I}_{\leq 2}((4, 3), F) =$$



Generating polynomial invariant: Fixed initial values

Invariant generation

$(x, y, c) \leftarrow (4, 3, \lambda)$

while true do

Assert: $h: c_0 + c_1 x + c_2 y + c_3 x^2 + c_4 xy + c_5 y^2 = 0$

$$\begin{bmatrix} x \\ y \\ c \end{bmatrix} \leftarrow \tilde{F}(x, y) = \begin{bmatrix} 10x - 8y \\ 6x - 4y \\ c \end{bmatrix}$$

end while

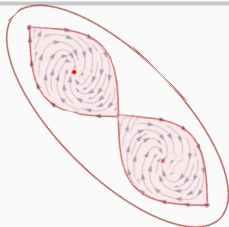
Invariant vector space $\mathcal{I}_{\leq 2}((4, 3), F)$ - Linear System

$$\begin{bmatrix} h \\ h \circ \tilde{F} \\ h \circ \tilde{F}^2 \\ h \circ \tilde{F}^3 \\ h \circ \tilde{F}^4 \end{bmatrix} = \begin{bmatrix} 9 & 12 & 1 & 3 & 4 & 1 \\ 144 & 192 & 1 & 3 \cdot 2^2 & 4 \cdot 2^2 & 1 \\ 2304 & 3072 & 1 & 3 \cdot 2^4 & 4 \cdot 2^4 & 1 \\ 36864 & 49152 & 1 & 3 \cdot 2^6 & 4 \cdot 2^6 & 1 \\ 589824 & 786432 & 1 & 3 \cdot 2^8 & 4 \cdot 2^8 & 1 \end{bmatrix} \cdot \begin{bmatrix} \lambda_5 \\ \lambda_4 \\ \lambda_3 \\ \lambda_2 \\ \lambda_1 \\ \lambda_0 \end{bmatrix} = 0$$

An observation

$$\mathcal{I}_{\leq 2}((4, 3), F) \left(\subseteq \right) \left\{ h \circ \tilde{F}^0(4, 3) = 0, \dots, h \circ \tilde{F}^{(k)}(4, 3) = 0 \right\} = \mathbb{C}h_0 + \mathbb{C}h_1 + \mathbb{C}g_0 + \mathbb{C}g_1$$

$$\mathcal{I}_{\leq 2}((4, 3), F) =$$



Generating polynomial invariant: Fixed initial values

Invariant generation

$(x, y, c) \leftarrow (4, 3, \lambda)$

while true do

Assert: $h: c_0 + c_1 x + c_2 y + c_3 x^2 + c_4 xy + c_5 y^2 = 0$

$$\begin{bmatrix} x \\ y \\ c \end{bmatrix} \leftarrow \tilde{F}(x, y) = \begin{bmatrix} 10x - 8y \\ 6x - 4y \\ c \end{bmatrix}$$

end while

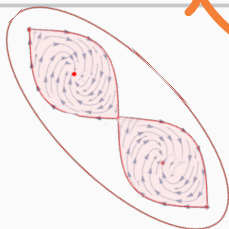
Invariant vector space $\mathcal{I}_{\leq 2}((4, 3), F)$ - Linear System

$$\begin{bmatrix} h \\ h \circ \tilde{F} \\ h \circ \tilde{F}^2 \\ h \circ \tilde{F}^3 \\ h \circ \tilde{F}^4 \end{bmatrix} = \begin{bmatrix} 9 & 12 & 1 & 3 & 4 & 1 \\ 144 & 192 & 1 & 3 \cdot 2^2 & 4 \cdot 2^2 & 1 \\ 2304 & 3072 & 1 & 3 \cdot 2^4 & 4 \cdot 2^4 & 1 \\ 36864 & 49152 & 1 & 3 \cdot 2^6 & 4 \cdot 2^6 & 1 \\ 589824 & 786432 & 1 & 3 \cdot 2^8 & 4 \cdot 2^8 & 1 \end{bmatrix} \cdot \begin{bmatrix} \lambda_5 \\ \lambda_4 \\ \lambda_3 \\ \lambda_2 \\ \lambda_1 \\ \lambda_0 \end{bmatrix} = 0$$

An observation

$$\mathcal{I}_{\leq 2}((4, 3), F) \left(\subseteq \right) \left\{ h \circ \tilde{F}^0(4, 3) = 0, \dots, h \circ \tilde{F}^{(k)}(4, 3) = 0 \right\} = \mathbb{C}h_0 + \mathbb{C}h_1 + \mathbb{C}g_0 + \mathbb{C}g_1$$

$$\mathcal{I}_{\leq 2}((4, 3), F) = \mathbb{C}h_0 + \mathbb{C}h_1 +$$



Generating polynomial invariant: Fixed initial values

Invariant generation

$(x, y, c) \leftarrow (4, 3, \lambda)$

while true do

Assert: $h : c_0 + c_1 x + c_2 y + c_3 x^2 + c_4 xy + c_5 y^2 = 0$

$$\begin{bmatrix} x \\ y \\ c \end{bmatrix} \leftarrow \tilde{F}(x, y) = \begin{bmatrix} 10x - 8y \\ 6x - 4y \\ c \end{bmatrix}$$

end while

Invariant vector space $\mathcal{I}_{\leq 2}((4, 3), \tilde{F})$ - Linear System

$$\begin{bmatrix} h \\ h \circ \tilde{F} \\ h \circ \tilde{F}^2 \\ h \circ \tilde{F}^3 \\ h \circ \tilde{F}^4 \end{bmatrix} = \begin{bmatrix} 9 & 12 & 1 & 3 & 4 & 1 \\ 144 & 192 & 1 & 3 \cdot 2^2 & 4 \cdot 2^2 & 1 \\ 2304 & 3072 & 1 & 3 \cdot 2^4 & 4 \cdot 2^4 & 1 \\ 36864 & 49152 & 1 & 3 \cdot 2^6 & 4 \cdot 2^6 & 1 \\ 589824 & 786432 & 1 & 3 \cdot 2^8 & 4 \cdot 2^8 & 1 \end{bmatrix} \cdot \begin{bmatrix} \lambda_5 \\ \lambda_4 \\ \lambda_3 \\ \lambda_2 \\ \lambda_1 \\ \lambda_0 \end{bmatrix} = 0$$

An observation

$$\mathcal{I}_{\leq 2}((4, 3), \tilde{F}) \left(\subseteq \right) \left\{ h \circ \tilde{F}^0(4, 3) = 0, \dots, h \circ \tilde{F}^{(k)}(4, 3) = 0 \right\} = \mathbb{C}h_0 + \mathbb{C}h_1 + \mathbb{C}g_0 + \mathbb{C}g_1$$

$$\mathcal{I}_{\leq 2}((4, 3), \tilde{F}) = \mathbb{C}h_0 + \mathbb{C}h_1 +$$

Invariant test (fast & parallel)

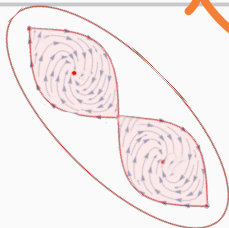
$(x, y) \leftarrow (4, 3)$

while true do

Assert: $h_1 = 0 \wedge h_2 = 0$

$$\begin{bmatrix} x \\ y \end{bmatrix} \leftarrow \tilde{F}(x, y) = \begin{bmatrix} 10x - 8y \\ 6x - 4y \end{bmatrix}$$

end while



Generating polynomial invariant: Fixed initial values

Invariant generation

$(x, y, c) \leftarrow (4, 3, \lambda)$

while true do

Assert: $h : c_0 + c_1 x + c_2 y + c_3 x^2 + c_4 xy + c_5 y^2 = 0$

$$\begin{bmatrix} x \\ y \\ c \end{bmatrix} \leftarrow \tilde{F}(x, y) = \begin{bmatrix} 10x - 8y \\ 6x - 4y \\ c \end{bmatrix}$$

end while

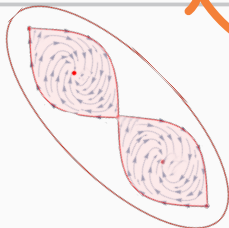
Invariant vector space $\mathcal{I}_{\leq 2}((4, 3), F)$ - Linear System

$$\begin{bmatrix} h \\ h \circ \tilde{F} \\ h \circ \tilde{F}^2 \\ h \circ \tilde{F}^3 \\ h \circ \tilde{F}^4 \end{bmatrix} = \begin{bmatrix} 9 & 12 & 1 & 3 & 4 & 1 \\ 144 & 192 & 1 & 3 \cdot 2^2 & 4 \cdot 2^2 & 1 \\ 2304 & 3072 & 1 & 3 \cdot 2^4 & 4 \cdot 2^4 & 1 \\ 36864 & 49152 & 1 & 3 \cdot 2^6 & 4 \cdot 2^6 & 1 \\ 589824 & 786432 & 1 & 3 \cdot 2^8 & 4 \cdot 2^8 & 1 \end{bmatrix} \cdot \begin{bmatrix} \lambda_5 \\ \lambda_4 \\ \lambda_3 \\ \lambda_2 \\ \lambda_1 \\ \lambda_0 \end{bmatrix} = 0$$

An observation

$$\mathcal{I}_{\leq 2}((4, 3), F) \left(\subseteq \right) \left\{ h \circ \tilde{F}^0(4, 3) = 0, \dots, h \circ \tilde{F}^{(k)}(4, 3) = 0 \right\} = \mathbb{C}h_0 + \mathbb{C}h_1 + \mathbb{C}g_0 + \mathbb{C}g_1$$

$$\mathcal{I}_{\leq 2}((4, 3), F) = \mathbb{C}h_0 + \mathbb{C}h_1 + (\mathbb{C}g_0 + \mathbb{C}g_1) \cap \mathcal{I}_{\leq 2}((4, 3), F)$$



Invariant test (fast & parallel)

$(x, y) \leftarrow (4, 3)$

while true do

Assert: $h_1 = 0 \wedge h_2 = 0$

$$\begin{bmatrix} x \\ y \end{bmatrix} \leftarrow F(x, y) = \begin{bmatrix} 10x - 8y \\ 6x - 4y \end{bmatrix}$$

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Invariant generation

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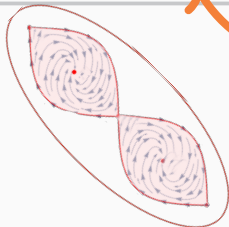
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while true do

Assert: $h_1 = 0 \wedge h_2 = 0$

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end while

Invariant generation (reduced)

$(x, y, c) \leftarrow (4, 3, \lambda)$

while true do

Assert: $c_0 g_0 + c_1 g_1 = 0$

$$\begin{bmatrix} x \\ y \\ c \end{bmatrix} \leftarrow \tilde{F}(x, y) = \begin{bmatrix} 10x - 8y \\ 6x - 4y \\ c \end{bmatrix}$$

end while

Synthesizing polynomial loops

Loop synthesis

$(x, y, z,) \leftarrow (a, b, c,)$

while true do

Assert: $[h_1: y^2 - x = 0 \wedge h_2: z^3 + 2y - x = 0]$

$$\begin{bmatrix} x \\ y \\ z \end{bmatrix} \leftarrow F(x, y, z) = \begin{bmatrix} f_1 x^3 + f_2 y^2 \\ f_3 x - f_4 y^2 \\ f_5 x \end{bmatrix}$$

end while

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Invariant set $S_{(F, h)}$

h is invariant for $(a, b, c, f) \in \mathbb{C}^4$ if and only if

$$h_1 = b^4 f_4^2 - 2ab^2 f_3 f_4 - a^3 f_1 + a^2 f_3^2 + b^2 f_2$$

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Final step

Find solutions to a polynomial sys. with entries:

- in $\mathbb{Z} \rightsquigarrow$ undecidable (Hilbert's 10th pb.)
- in $\mathbb{Q} \rightsquigarrow$ major open problem

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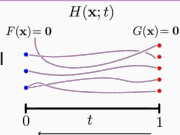
Finitely many sols

Numerical guesses

$\rightsquigarrow$ HomotopyContinuation.jl

$\rightsquigarrow$ Continued frac. exp.

$\rightsquigarrow$ Truncation



Symbolic methods

$\rightsquigarrow$ AlgebraicSolving.jl

$\rightsquigarrow$ factor in $\mathbb{Q}[t]$

$$\begin{cases} \omega(f_1) = 0 & \omega \in \mathbb{Q}[t] \\ f_i = \frac{\mu_i(f_1)}{\omega'(f_1)} & \mu_i \in \mathbb{Q}[t] \end{cases}$$

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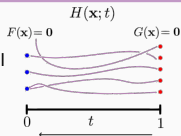
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Exploit structure

Decompose into "simpler" subsystems

1. $\{c_5 = c_3 + c_4 = c_1 + c_2 = 0\}$
2. $\{c_5 + 1 = c_3 + c_4 \pm 1 = c_1 + c_2 - 1 = 0\}$
3. $\{c_3 + c_4 \pm 1 = c_1 + c_2 - 1 = c_5^2 - c_5 + 1 = 0\}$
where $(a, b, c) = (1, 1, -1)$

Synthesizing polynomial loops

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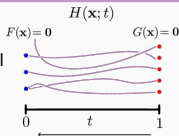
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SMT solvers (Z3)

Satisfiability of logical formulas mathematical theories

State of the art - Main results

Loop Invariants

Works	LICS'18 ¹ , JSC'07 ²	FMSD'24 ³ , POPL'24 ⁴	IPL'04 ⁵ , Our work
Assignments	Affine, Solvable	Polynomial	Polynomial
Invariants	Polynomial	Polynomial $\leq d$	Polynomial $\leq d$
Complete	✓	✗	✓

¹[Hrushovski, Ouaknine, Pouly, Worrell; '18]

²[Rodríguez-Carbonell, Kapur; '07]

³[Amrollahi, Bartocci, Keninson et al.; '24]

⁴[Cyphert, Kincaid; '24]

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Some of our contributions from [\[arXiv:2412.14043\]](#)

For loops of any degree we can:

1. test polynomial invariant
2. template-based polynomial invariant generation
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Loop synthesis

Works	ISSAC'23 ¹	STACS'24 ²	Form. Asp. Comput'22 ³	Our work
Assignments	Linear	Linear	Linear	Polynomial
Invariants	Binomial	Quadratic	Polynomial	Polynomial
Complete	✓	✓	✗	✗
Non-trivial	✓	✓	✗	✗

¹[Kenison, Kovács, Varonka; ISSAC'23], ²[Hitarth, Kenison, Kovács, Varonka; STACS'24]

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For loops of any degree we can:

1. test polynomial invariant
2. template-based polynomial invariant generation
3. optimization when fixed initial value
4. reduce loop synthesis to polynomial invariant

Polynomial inequalities (Work In Progress)

What changes?

Definition

Invariant set of (F, h) :

$$S_{(F, h)} = \left\{ \mathbf{a} \in \mathbb{C}^n, \forall m \geq 0, h \circ F^{(m)}(\mathbf{a}) > 0 \right\}$$

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No stabilisation 🤖

Let $S_m = \{h > 0, \dots, h \circ F^{(m)} > 0\}$

$$S_{(F, h)} = S_0 \cap S_1 \cap \dots \cap S_m \cap \dots$$

$\rightsquigarrow S_{(F, h)}$ can generally **not** be defined using *finitely many* $=, >, \wedge$ and $\neg$

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Example

```
1:  $x \leftarrow \mathbf{a}$ 
2: while true do
3:   Assert:  $x < 0$ 
4:    $x \leftarrow x + 1$ 
5: end while
```

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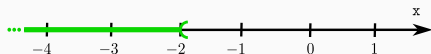
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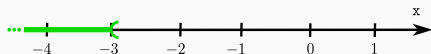
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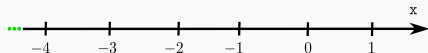

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$S_{F, h} = \mathbb{R} - \mathbb{Z}_{\leq 0}$ not
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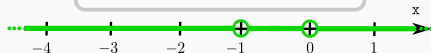
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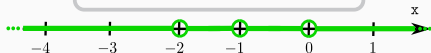
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$$S_{(F, h)} = S_0 \cap S_1 \cap \dots \cap S_m \cap \dots$$

$\rightsquigarrow S_{(F, h)}$ can generally **not** be defined
using *finitely many* $=, >, \wedge$ and $\neg$

Example

```
1:  $x \leftarrow a$ 
2: while true do
3:   Assert:  $x \neq 0$ 
4:    $x \leftarrow x + 1$ 
5: end while
```

What changes?

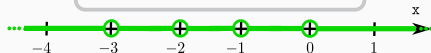
Definition

Invariant set of (F, h) :

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$(S_m)_{m \geq 0}$ does not stabilize

$S_{F, h} = \mathbb{R} - \mathbb{Z}_{\leq 0}$ not
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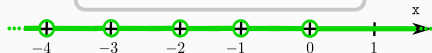
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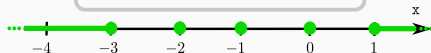
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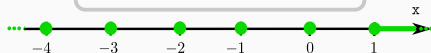
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Example

```
1: x ← a
2: while true do
3:   Assert: 0 ≤ x ≤ 1
4:   x ← x + 1
5: end while
```

Positivity test

$$\begin{cases} u_0, \dots, u_{k-1} \in \mathbb{Q} \\ a_0, \dots, a_{k-1} \in \mathbb{Q} \\ u_{n+k} + a_{k-1}u_{n+k-1} + \dots + a_0u_n = 0 \end{cases}$$

Are all $u_n \geq 0$?

Open problem for $k \geq 6$

(diophantine approx. [Ouaknine & Worrell '10])

Invariant test

$$(x_0, \dots, x_{k-1}) \leftarrow (u_0, \dots, u_{k-1})$$

while true do

Assert: $x_0 \geq 0, \dots, x_{k-1} \geq 0$

$$\begin{bmatrix} x_0 \\ \vdots \\ x_{k-2} \\ x_{k-1} \end{bmatrix} = \begin{bmatrix} 0 & 1 & \dots & 0 \\ \vdots & \ddots & \ddots & \vdots \\ & & 0 & 1 \\ a_0 & \dots & a_{k-2} & a_{k-1} \end{bmatrix} \cdot \begin{bmatrix} x_0 \\ \vdots \\ x_{k-2} \\ x_{k-1} \end{bmatrix}$$

end while

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$$\begin{cases} u_0, \dots, u_{k-1} \in \mathbb{Q} \\ a_0(n), \dots, a_{k-1}(n) \in \mathbb{Q}[n] \\ u_{n+k} + a_{k-1}(n)u_{n+k-1} + \dots + a_0(n)u_n = 0 \end{cases}$$

Are all $u_n \geq 0$?

Assumptions on eigenvalue and
 initial values [Ibrahim & Salvy '25]

Invariant test

$$(x_0, \dots, x_{k-1}, n) \leftarrow (u_0, \dots, u_{k-1}, 0)$$

while true do

Assert: $x_0 \geq 0, \dots, x_{k-1} \geq 0$

$$\begin{bmatrix} x_0 \\ \vdots \\ x_{k-2} \\ x_{k-1} \\ n \end{bmatrix} = \begin{bmatrix} 0 & 1 & \dots & 0 \\ \vdots & \ddots & \ddots & \vdots \\ & & 0 & 1 \\ a_0(n) & \dots & \dots & a_{k-1}(n) \end{bmatrix} \cdot \begin{bmatrix} x_0 \\ \vdots \\ x_{k-1} \end{bmatrix}$$

$n+1$

end while

Inductive invariants

Polynomial loop

```
1:  $x \leftarrow a$   
2: while true do  
3:   Assert:  $h(x) > 0$   
4:    $x \leftarrow F(x)$   
5: end while
```

Inductive invariant

$$h(a) > 0 \text{ and } \forall x, h(x) > 0 \implies h \circ F(x) > 0$$

Inductive invariants

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$$h(a) > 0 \text{ and } \forall x, h(x) > 0 \implies h \circ F(x) > 0$$

Example

```
1:  $x \leftarrow (2, 1)$ 
2: while true do
3:   
$$\begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} 2 \cdot x \\ -\frac{3}{2} \cdot y \end{bmatrix}$$

4: end while
```

Inductive invariants

Polynomial loop

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1:  $x \leftarrow a$   
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```

$$h = x - 1 > 0$$

Order 0

$$h(2, 1) > 0 \text{ and } h \circ F = 2h + 1$$

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Order- k Inductive invariant

[Gerhold & Kauers '05, Falke & Kapur '15]

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$$h = 3x - 4y - 1 > 0$$

Order 1

$$\text{Not order 0} \rightsquigarrow \begin{cases} h(0, -1) > 0 \\ h \circ F(0, -1) < 0 \end{cases}$$

$$\text{But order 1: } h(2, 1) > 0 \text{ and}$$

$$2 \cdot h \circ F^2 = h \circ F + 6h + 5$$

Inductive invariants

Polynomial loop

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4: end while

```



This is the max. order here

$$h = x - 1 > 0$$

Order 0

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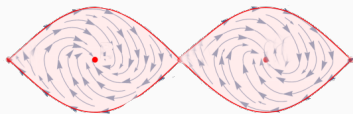
$$2 \cdot h \circ F^2 = h \circ F + 6h + 5$$

On the set of inductive invariants

Definition

Inductive polynomials $f \in \text{Ind}_r(\mathbf{F}) \cap \mathbb{R}_{\leq d}[\mathbf{x}] = \text{Ind}_{r,d}$:

for all $\mathbf{x} \in \mathbb{R}^n$, $h(\mathbf{x}) > 0, \dots, h \circ \mathbf{F}^r(\mathbf{x}) > 0 \implies h \circ \mathbf{F}^{r+1}(\mathbf{x}) > 0$



Properties

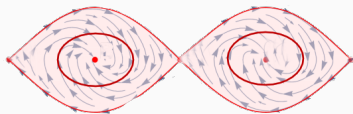
✓ 1

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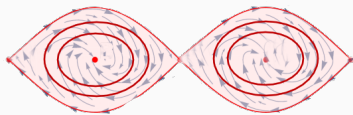
✓ $1 \not\subseteq \text{Ind}_{0,d}$

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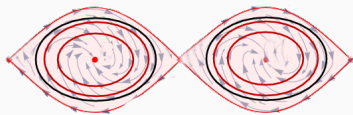
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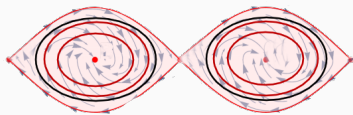
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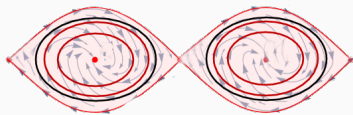
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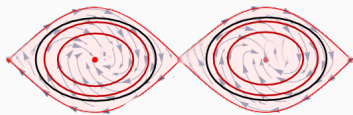
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- ✓ Soundness: $\text{Ind}_{r,d} \subset \{\text{All invariants}\}$

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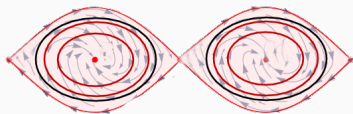
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- ✗ In general $\bigcup_r \text{Ind}_r \subsetneq \{\text{All invariants}\}$

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Computability

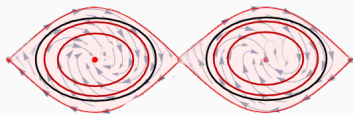
$\text{Ind}_{r,\mathbf{d}}(\mathbf{F})$ can be written with finitely many *quantifier-free* equation+inequalities $\rightsquigarrow$ semi-algebraic set

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Symbolic Quantifier Elimination

Presburger (e.g. Fourier-Motzkin) ($d = 1, \deg(\mathbf{F}) = 1$)

Cylindrical Algebraic Decomposition [Collins, '75]

Computability

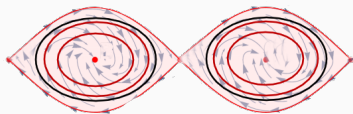
$\text{Ind}_{r,d}(\mathbf{F})$ can be written with finitely many *quantifier-free* equation+inequalities $\rightsquigarrow$ semi-algebraic set

On the set of inductive invariants

Definition

Inductive polynomials $f \in \text{Ind}_r(\mathbf{F}) \cap \mathbb{R}_{\leq d}[\mathbf{x}] = \text{Ind}_{r,d}$:

for all $\mathbf{x} \in \mathbb{R}^n$, $h(\mathbf{x}) > 0, \dots, h \circ \mathbf{F}^r(\mathbf{x}) > 0 \implies h \circ \mathbf{F}^{r+1}(\mathbf{x}) > 0$



Properties

- ✓ $1 \subsetneq \text{Ind}_{0,d} \subsetneq \dots \subsetneq \text{Ind}_{r,d} \stackrel{?}{=} \text{Ind}_{r+1,d} = \dots$
- ✗ No guarantee to stabilize
- ✓ Soundness: $\text{Ind}_{r,d} \subset \{\text{All invariants}\}$
- ✗ In general $\bigcup_r \text{Ind}_r \subsetneq \{\text{All invariants}\}$

Symbolic Quantifier Elimination

Presburger (e.g. Fourier-Motzkin) ($d = 1, \deg(\mathbf{F}) = 1$)

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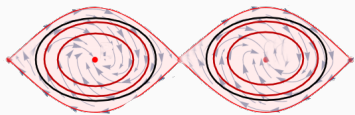
Semidefinite programming [Lasserre '15]

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Exploit structure

Farkas' lemma ($d = 1, \deg(\mathbf{F}) = 1$)
Putinar's Positivstellensatz

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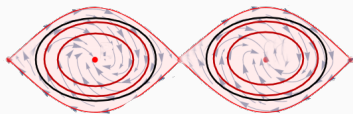
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Adapted Farkas lemma

Affine loop

$x \leftarrow a$

while true do

Assert: $h : c_1x + c_2y + c_3z + c_4t > 0$

$$\begin{bmatrix} x \\ y \\ z \\ t \end{bmatrix} \leftarrow \begin{bmatrix} 1 & 1 & & \\ 0 & 1 & & \\ & & 0 & -1 \\ & & 1 & 0 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ t \end{bmatrix}$$

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Farkas' Lemma

(friendly version)

Let $\Phi : \begin{cases} a_1x + a_2y + a_3z + a_4t \geq 0 \\ b_1x + b_2y + b_3z + b_4t \geq 0 \end{cases}$

Suppose Φ satisfiable, then

$$\forall (x, y, z, t), \Phi \implies c_1x + c_2y + c_3z + c_4t \geq 0$$

iff there exists $\lambda_1, \lambda_2, \lambda_3 \geq 0$ such that

$$c_i = \lambda_1 a_i + \lambda_2 b_i \quad \text{for } i \in \{1, 2, 3\}$$

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$\times$

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All blocks

$$\{(c', c'')\} \subset \mathbb{R}^4$$

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Real Jordan blocks

$$\{c' \in \mathbb{R}^2 \mid \exists \lambda \in \mathbb{R}_{\geq 0}^r, \ell'(\lambda, c') = 0\}$$

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$$\{c'' \in \mathbb{R}^3 \mid \exists \lambda \in \mathbb{R}_{\geq 0}^r, \ell''(\lambda, c'') = 0\}$$

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Lemma: uniform regions

$$(\neq 0, \cdot) \in \text{Ind}_r \Leftrightarrow (1, 0) \in \text{Ind}_r$$

$$(=0, \neq 0) \in \text{Ind}_r \Leftrightarrow (0, 1) \in \text{Ind}_r$$

E.g. for the region $(\neq 0, \cdot)$ only check:

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Theorem

- The difference $\text{Ind}_{r+1} \setminus \text{Ind}_r$ consists of *uniform new regions*.
 - There are at most n such regions.
- $\Rightarrow$ The sequence $(\text{Ind}_r)_r$ stabilizes after at most n iterations.

Limitations

Outputs of the algorithm

1. $c_1 = 0 \wedge c_2 = 0 \wedge c_3 = 0$

2. $c_1 \neq 0 \wedge c_2 = 0 \wedge c_3 = 0$

3. $c_1 = 0 \wedge c_2 = 0 \wedge c_3 \neq 0$

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Outputs of the algorithm

$$J = \begin{pmatrix} 2 & 0 & 0 \\ 0 & -\sqrt{2} & 0 \\ 0 & 0 & \sqrt{2} \end{pmatrix}$$

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Remarks

- the coefficients of the relations can be **irrational**s
- we look for rational solutions

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The relevant subset

Blue Pill

Given an affine system of (in)equations
 $(l_0, \dots, l_m) \in \mathbb{R}_{\leq 1}[c_1, \dots, c_m]$

- decide on the existence of rational solutions
- compute a representation of these solutions

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The dual problem

Red Pill

Compute a (numerical) approximation of the *smallest reachable set*:

$$\{\mathbf{a} \in \mathbb{R}^n, f(\mathbf{a}) > 0 \text{ for every ind. invariant}\}$$

Equalities

- New methods for computing polynomial invariant up to given degree
- Reduction of general polynomial loop synthesis to system solving **NEW!**
- Many optimizations remains on ideal computations

Inequalities (Work in Progress)

- More general kind of inductive invariants **NEW!**
- Several computational methods to compute invariants
- Affine case almost completely solved **NEW!**