A unified approach for degree bound estimates of linear differential operators

Séminaire Pascaline

Louis Gaillard June 19th 2025

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$$a_r(x)f^{(r)}(x) + \cdots + a_1(x)f'(x) + a_0(x)f(x) = 0, \quad a_i(x) \in k[x]$$

r =order of L

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L(f) = 0 with $L = a_r \partial^r + \cdots + a_1 \partial + a_0$

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Examples: $\exp(x)$, $\cos(x)$, $\arctan(x)$, $\operatorname{erf}(x)$, ...

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Study properties

Prove identities

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Ex (LCLM): Given L_1, L_2 s.t. $L_1(f) = 0, L_2(g) = 0 \rightarrow \text{Find } L$ s.t. L(f+g) = 0.

$$L_1 = (x^2 + 1) \ \partial^2 - (x + 2) \ \partial -3$$
 and $L_2 = x^2 \ \partial^2 - (x + 3) \ \partial -2$

Compute $L = L_1 \oplus L_2$

a minimal order operator s.t. $L(\alpha) = L(\alpha_1 + \alpha_2) = 0$ for all α_1, α_2 s.t. $L_1(\alpha_1) = L_2(\alpha_2) = 0$

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Solve the linear system and get $L = \eta_4 \cdot \partial^4 + \eta_3 \cdot \partial^3 + \eta_2 \cdot \partial^2 + \eta_1 \cdot \partial + \eta_0 \in k[x] \langle \partial \rangle$

$$L_1=(x^2+1)$$
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One specific instance of a class of algorithms

Focus on several problems:

- Closure properties (LCLM, Symmetric product) [Stanley 1980] [van der Hoeven 2016] [Bostan, Chyzak, Li, Salvy 2012]
- Computation of a differential equation satisfied by an algebraic function
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General Problem

Input: $T \in k(x)^{n \times n}$, $a \in k[x]^n$, let $\theta = \partial_x + T$ **Output:** $\eta = (\eta_0, \dots, \eta_\rho) \in k[x]^{\rho+1} \setminus \{0\}$ s.t.: $\eta_0 \cdot a + \eta_1 \cdot \theta a + \dots + \eta_\rho \cdot \theta^\rho a = 0$

and $\rho \leq n$ minimal

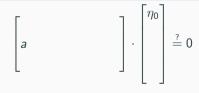
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Known bounds on deg η ?

- General arguments (col. by col.) \rightarrow weak bounds
- Ad hoc arguments for special $T \rightarrow \text{tight bounds}$

Contributions

Key observation: In all specific problems, a structure inherited from

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 with X, M, Y polynomial matrices and det M small
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Unified approach for bounds:

- Exhibit a small realisation $T = XM^{-1}Y$ (*i.e.* with minimal $\delta = \deg \det M$)
- Retrieve or improve the best known bound

Bounds in specific problems

Our unified approach catches the bounds!

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	Previous Bound	Our Bound	Matrix of the Problem
	$ds^2r + o(ds^2r)$ [BCLS 12]	$ds^2r + o(ds^2r)$	$T = Diag(C_1, C_2)$
SymProd \otimes	$O(dr^{2s})$ [Kauers 14]	$O(dr^{2s-1})$	$T = C_1 \otimes I_{r_2} + I_{r_1} \otimes C_2$
AlgeqtoDiffeq	$4d_y^2d_x + o(d_y^2d_x)$ [BCLSS 07]	$2d_y^2d_x + o(d_y^2d_x)$	$T: a \mod P \mapsto -\partial_y(a)P_x/P_y \mod P$
Hermite	$2d_y^2d_x + o(d_y^2d_x)$ [BCCL 10]	$2d_y^2d_x+o(d_y^2d_x)$	$\mathcal{T} \colon a mod Q \mapsto - herm(Q_x a/Q^2)$

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, and $\Delta = \det M$, $\delta = \deg \Delta$, $K = \begin{bmatrix} a & \cdots & \theta^{\rho} a \end{bmatrix}$

Denominators of minors of *pseudo*-Krylov matrices

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Size of η_i related to size of some minors of *K*

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Key Proposition

For $s_1 \leq \cdots \leq s_r$ and $K = \begin{bmatrix} \theta^{s_1} a & \cdots & \theta^{s_r} a \end{bmatrix}$. Any $r \times r$ minor \mathfrak{m} of K has denominator dividing Δ^{s_r} .

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Naive expansion:

$$\mathfrak{m}=\frac{\cdots}{\Delta^{s_1+\cdots+s_r}}$$

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Technical tool: Determinantal denominators [Coppel 74]

$$K = \begin{bmatrix} T^{s_1}a & T^{s_2}a & \cdots & T^{s_r}a \end{bmatrix} \qquad T = XM^{-1}Y \quad \Delta = \det M \quad \text{with } s_1 > 0$$

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Factorisation:
$$K = T^{s_1} \cdot \begin{bmatrix} a & T^{s_2-s_1}a & \cdots & T^{s_r-s_1}a \end{bmatrix} = T^{s_1} \cdot K'$$

$$K = \begin{bmatrix} T^{s_1}a & T^{s_2}a & \cdots & T^{s_r}a \end{bmatrix} \qquad T = XM^{-1}Y \quad \Delta = \det M \quad \text{with } s_1 > 0$$
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$$K = T^{s_1} \cdot \begin{bmatrix} a & T^{s_2-s_1}a & \cdots & T^{s_r-s_1}a \end{bmatrix} = T^{s_1} \cdot K'$$

Cauchy-Binet: Minor of $\mathbf{K} = \sum (\text{Minor of } \mathbf{T}^{s_1} \cdot \text{Minor of } \mathbf{K}')$

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$$= \sum \frac{\cdots}{\Delta^{s_1}} \cdot \frac{\cdots}{\Delta^{s_r - s_1}} \xrightarrow{\text{Induction}}$$

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Cauchy-Binet: Minor of
$$K = \sum_{r=1}^{\infty} (\text{Minor of } I^{s_1} \cdot \text{Minor of } K')$$
$$= \sum_{r=1}^{\infty} \frac{1}{\Delta^{s_r}} \cdot \frac{1}{\Delta^{s_r-s_1}} \xrightarrow{\text{Induction}}{Induction}$$
$$= \frac{1}{\Delta^{s_r}}$$

No such matrix factorisation in the differential case **Remark:** Gives tight estimates on the size of the minimal polynomial of T

$$\mathcal{K} = \begin{bmatrix} \theta^{s_1} u_1 & \theta^{s_2} u_2 & \cdots & \theta^{s_r} u_r \end{bmatrix} \quad \theta = \partial + T \quad T = XM^{-1}Y \quad \Delta = \det M \quad u_i \in k[x]^n$$

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By multilinearity of det and induction: Minor of $\mathcal{K}_1 = \frac{\dots}{\Delta^{s_r-1}}$

 $\implies \text{Minor of } K = \frac{\dots}{\Delta^{s_r}} \qquad \text{(by Cauchy Binet + multilinearity)}$

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- Non minimal operators (ex: CLM instead of LCLM)

Thank you for your attention!